

1742

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
**(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

3047-7434 (53047/7434)

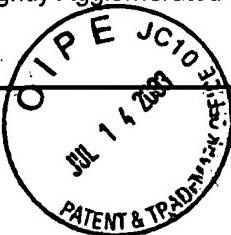
In Re Application Of:

Rosen et al.

Serial No.	Filing Date	Examiner	Group Art Unit
09/973,624	October 9, 2001	Mai, Ngoclan Thi	6243

Title:

Tightly Agglomerated Non-Oxide Particles And Method For Producing The Same



Address to:  
Assistant Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

- The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

- The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

OR

the fee set forth in 37 CFR 1.17(p).

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\* Y

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.

3047-7434 (53047/7434)

In Re Application:

Rosen et al.

Serial No.

09/973,624

Filing Date

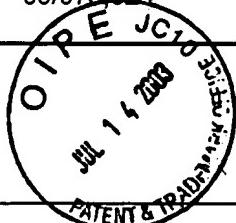
October 9, 2001

Examiner

Mai, Ngoclan Thi

Group Art Unit

6243



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**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- A check in the amount of \_\_\_\_\_ is attached.
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B. L. Volk Jr.

Signature of Person Mailing Correspondence

Benjamin L. Volk, Jr.

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B. L. Volk Jr.  
Signature

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Dated: July 9, 2003



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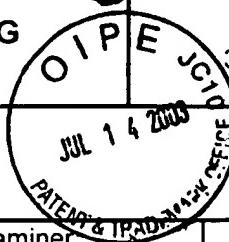
**STATEMENT UNDER 37 CFR 1.97(e) ACCOMPANYING  
INFORMATION DISCLOSURE STATEMENT**

Docket No.

3047-7434 (53047/7434)

In Re Application Of:

Rosen et al.



Serial No. 09/973,624	Filing Date October 9, 2001	Examiner Mai, Ngoclan Thi	Group Art Unit 6243
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Invention:

Tightly Agglomerated Non-Oxide Particles And Method For Producing The Same

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**TO THE ASSISTANT COMMISSIONER FOR PATENTS:**

This is a statement under the provisions of 37 CFR 1.97(e) in the above-identified application.

Check applicable statement here below:

**Statement Under 37 CFR 1.97(e)(1)**

- Each item of information contained in the accompanying Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the Information Disclosure Statement.

**Statement Under 37 CFR 1.97(e)(2)**

- No item of information contained in the accompanying Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned person, after making reasonable inquiry, no item of information contained in the accompanying Information Disclosure Statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of the Information Disclosure Statement.

Signature

Dated: July 9, 2003

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<p>Substitute for form 1449A/PTO</p> <p><b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b></p> <p>(use as many sheets as necessary)</p>				<b>Complete if Known</b>	
				Application Number	09/973,624
				Filing Date	JUL 17 2003 October 9, 2001
				First Named Inventor	Rosen et al.
				Art Unit	6243
				Examiner Name	Mai, Ngoc Lan Thi
Sheet	1	of	1	Attorney Docket Number	3049-7434 (53047/7434)

U.S. PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
AA	US- 3,544,359	12-01-1970	Oxley		
AB	US- 4,040,815	08-09-1977	Francois et al.		
AC	US- 6,238,456	05-29-2001	Wolf et al.		
	US-				
	US-				

FOREIGN PATENT DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)			
					T <sup>6</sup>

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS					
Examiner Initials <sup>1</sup>	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published			
AD	PATENT COOPERATION TREATY; International Search Report; July 2, 2003				
AE	CARPENTER et al.; Effects of Shell Thickness on Blocking Temperature of Nanocomposites of Metal Particles with Gold Shells; IEEE Transactions on Magnetics; September 1999; pp. 3496-3498; Vol. 35, No. 5; IEEE Inc.; New York, USA				
AF	DATABASE INSPEC; A Flame Process for Synthesis of Unagglomerated, Low-Oxygen Nonoparticles; Institute of Electrical Engineers; December 1997; Vol. 288, No. 6				

Examiner Signature	Date Considered
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**EXAMINER:** Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

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